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EXECUTION POLICY

执行政策



www.ausglobaluk.com



support@ausglobaluk.com



+61 37 001 5926

1. INTRODUCTION

介绍

- 1.1. This Order Execution Policy (“Policy”) is provided by AUS GLOBAL .

本订单执行政策（“政策”）由 AUS GLOBAL 提供。

- 1.2. This Policy provides an overview of how AUS GLOBAL executes orders on behalf of the Clients.

本政策概述了 AUS GLOBAL 如何代表客户执行订单。

2. DEFINITIONS AND INTERPRETATION

定义和解释

- 2.1. Throughout this Policy, the following words and expressions shall bear the following meanings:

在本政策中，以下词语和表达应具有以下含义：

- A. “Buy Limit” means an order to buy securities once the price reaches a level that is lower than the current price. Usually, this order is placed in anticipation that the security price, having fallen to a certain level, will increase.
“买入限价”是指在价格达到低于当前价格的水平时买入证券的指令。通常此类订单是在预期证券价格下降到一定水平后会上升；
- B. “Buy Stop” means an order to buy securities once the price reaches a specific level, which is higher than the current price. Usually, this order is placed in anticipation that the security price, having reached a certain level, will keep on increasing.
“止损买入”是指在价格达到高于当前价格的特定水平时买入证券的指令。通常此类订单是预期证券价格达到一定水平后会继续上升；
- C. “Client Agreement” means the client agreement entered into between AUS GLOBAL and the Client.
“客户协议”是指 AUS GLOBAL 与客户之间签订的客户协议；
- D. “Market Order” means an order to immediately buy or sell at the current quoted price.
“市价单”指以当前报价立即买入或卖出的订单；
- E. “Margin Level” shall be derived from the formula (Equity / Margin x 100%).
“保证金水平”应从公式中得出（净值/保证金 x 100%）；

- F. "Pending Order" means execution of a trade at a specific price, which shall include Buy Limit, Buy Stop, Sell Limit, Sell Stop, Take Profit and Stop Loss.
“挂单”是指以特定价格执行交易，包括买入限价、买入止损、卖出限价、卖出止损、止盈和止损；
- G. "Sell Limit" means an order to sell securities once the price reaches a level that is higher than the current price. Usually, this order is placed in anticipation that the security price, having increased to a certain level, will fall.
“卖出限价”是指在价格达到高于当前价格的水平时卖出证券的指令。通常此类订单是在预期证券价格上涨到一定水平后会下跌；
- H. "Sell Stop" means an order to sell securities once the price reaches a specific level, which is lower than the current price. Usually, this order is placed in anticipation that the security price, having reached a certain level, will keep on falling.
“卖出止损”是指在价格达到低于当前价格的特定水平时卖出证券的指令。通常此类订单是预期证券价格达到一定水平后会继续下跌；
- I. "Stop Loss" means an order used for minimizing losses if the price of securities has started to move in an unprofitable direction. If the security price reaches this level, the position will be closed automatically.
“止损”是指在证券价格开始向无利可图的方向移动时用于将损失降至最低的指令。如果证券价格达到该水平，将自动平仓；
- J. "Stop Out" occurs when the value of the Margin Level falls below the level as provided in the Trading Platform where forced closing of the opened positions shall occur without any prior notice to the Client.
“强制平仓”是当交易平台中规定的保证金水平值降低至特定水平而强制平仓将在未事先通知客户的情况下发生；
- K. "Take Profit" means an order intended for gaining the profit when the price of securities has reached a certain level. Execution of this order results in the closing of the position.
“止盈”是指在证券价格达到一定水平时，以获取利润为目的的指令。执行此订单会导致平仓。

- 2.2. The meanings of words and expressions that were not defined in this Policy shall follow the definitions described in the Client Agreement.

本政策中未定义的词语和表达的含义应遵循客户协议中的定义。

3. TRANSACTION TERMS AND CONDITIONS

交易条款和条件

- 3.1. Buy Limit, Sell Limit and Take Profit on currency pairs and CFDs are executed at the Client's input trading price at the first available market price.

货币对和差价合约的买入限价、卖出限价和止盈以客户的输入交易价格和第一个可用市场价格执行。

- 3.2. Buy Stop, Sell Stop and Stop Loss on currency pairs and CFDs are executed at the Client's input trading price at the first available market price. In the event:

货币对和差价合约的买入止损、卖出止损和止损以客户的输入交易价格和第一个可用市场价格执行。在事件中：

- A. There is a gap between the time of opening and closing of the positions.
开仓和平仓时有跳空；
- B. The input pending order is within the gap.
输入挂单在跳空内；
- C. The order shall be executed at the first available market price after the price gap.
订单将在价格跳空后的第一个可用市场价格执行。

- 3.3. AUS GLOBAL reserves the right to deny execution of an order in the event any transmission errors, delay, technical faults, malfunctions, illegal intervention on the trading platform resulted in price or quotation error of financial instruments offered by AUS GLOBAL.

如果交易平台的任何传输错误、延迟、技术故障、故障、非法干预导致 AUS GLOBAL 提供的金融工具的价格或报价错误，AUS GLOBAL 保留拒绝执行订单的权利。

- 3.4. The Client acknowledges that amendment or cancellation of an order is not possible after the input price has reached the execution price.

客户承认在输入价格达到执行价格后无法修改或取消订单。

- 3.5. The Client agrees and acknowledges that when Stop Out occurs, force closing of the opened positions shall occur without any prior notice to the Client. In the event there is an additional amount due in the Client Account which arises after forced closing of the opened positions, the Client undertakes to pay the amount balance due to AUS GLOBAL.

客户同意并承认，当强制平仓发生时，将在未事先通知客户的情况下强制平仓。如果在强制平仓后客户账户中出现额外的应付金额，客户承诺支付应付给 AUS GLOBAL 的金额余额。

4. EXECUTION VENUE

执行地点

- 4.1. We always act as principals and not as agents on behalf of our clients. However, we reserve the right to transmit customer orders to third-party liquidity providers for execution.

我们始终作为委托人而不是代表客户的代理人行事。但是我们保留将客户订单传输给第三方流动量供应商执行的权利。

- 4.2. The Client acknowledges that the transactions entered with AUS GLOBAL:

客户承认与 AUS GLOBAL 进行的交易：

- A. are not undertaken on a recognized exchange.
并非在认可交易所进行；
- B. are over the counter (“OTC”) transactions.
是场外交易 (“OTC”) 交易；
- C. may expose the Client to greater risks comparatively than recognized exchange, such as counterparty risk arising from execution outside a trading venue.

可能使客户面临比公认交易所更大的风险，例如在交易场所外执行产生的交易对手风险。

5. PROHIBITION ON BONUS-HUNTING

禁止奖金狩猎

- 5.1. AUS GLOBAL strictly prohibits any act or omission committed by Clients:

AUS GLOBAL 严禁客户的任何作为或不作为：

- A. solely for the purposes of receiving or collecting AUS GLOBAL's bonus.
仅用于接收或收集 AUS GLOBAL 的奖金；
- B. which are solely intended to cause loss or damage to AUS GLOBAL.
仅用于对 AUS GLOBAL 造成损失或损坏。

- 5.2. AUS GLOBAL reserves the right to:

AUS GLOBAL 保留以下权利:

- A. withhold the Client's funds.
扣留客户的资金;
- B. withhold the Client's bonus.
扣留客户的奖金;
- C. cancel the Client's bonus.
取消客户的奖金;
- D. impose a penalty of 5% on the Client's deposit.
对客户的存款处以 5% 的罚款;

if AUS GLOBAL deems the Client is engaging, engaged or is under suspicion in bonus-hunting.

如果 AUS GLOBAL 认为客户正在参与、已参与或被怀疑在寻求奖金。

5.3. The following actions shall be considered as bonus-hunting:

以下行为应视为奖金狩猎:

- A. if the Client systematically closes positions with a profit of less than 10 points and still has a non-turnover bonus.
如果客户系统性地平仓获利少于 10 点且仍有未返还奖金;
- B. if the Client systematically opens the opposite positions and thus has a non-turnover bonus.
如果客户系统性地开立相反的头寸, 因此有未返还奖金。

AUS GLOBAL reserves the right to apply other algorithms or other methods in identifying the bonus- hunting that are not described in this Policy.

AUS GLOBAL 保留应用其他算法或其他方法来识别本政策中未描述的奖金狩猎的权利。

5.4. The Client agrees that in the event multiple accounts are registered in the Client's name, the bonus shall only be credited to the account of which the Client made the first deposit.

客户同意, 如果以客户的名义注册了多个账户, 奖金将只记入客户进行首次存款的账户。

5.5. The Client acknowledges that the size of his non-turnover bonus will be reduced in proportion to the number of withdrawals on the amount of the initial deposit.

客户承认, 他的未返还奖金的大小将与初始存款金额的提款金额成比例减少。

6. AMENDMENTS

修正案

- 6.1. The Client acknowledges that AUS GLOBAL reserves the right to amend or update this Policy at any time without prior notice to the Client. The amendments to the Policy shall become effective immediately and shall be legally binding on the Client upon publishing the Policy on AUS GLOBAL's website. The Client undertakes to regularly review this Policy on the AUS GLOBAL's website.

客户承认 AUS GLOBAL 保留随时修改或更新本政策的权利，恕不另行通知客户。本政策的修订应立即生效，并在 AUS GLOBAL 网站上发布本政策后对客户具有法律约束力。客户承诺定期在 AUS GLOBAL 网站上查看本政策。

- 6.2. The official language of this Policy shall be English. AUS GLOBAL may provide this Policy in other languages for information purposes only and in the event of any inconsistency or discrepancy between the English version of this Policy and any other language version, the English version shall prevail.

本政策的官方语言为英语。AUS GLOBAL 可能会以其他语言提供本政策，仅供参考，如果本政策的英文版本与任何其他语言版本之间存在任何不一致或差异，则以英文版本为准。

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 +61 37 001 5926